OIPE
AUG 11 2005
PATENT & TRADEMARK OFFICE

1644

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/276,484
Filing Date	March 25, 1999
First Named Inventor	Alexander Gaiger
Art Unit	1644
Examiner Name	Ronald B. Schwadron, Ph.D.
Attorney Docket No.	210121.465C1

ENCLOSURES (*check all that apply*)

- [X] Fee Transmittal Form
 - [X] Fee Attached
- [] Amendment/Response
 - [] After Final
 - [] Affidavits/declaration(s)
- [] Extension of Time Request
- [] Express Abandonment Request
- [X] Fifth Supplemental Information Disclosure Statement; Form PTO-1449
- [X] 2 Cited References
- [] Certified Copy of Priority Document(s)
- [] Response to Missing Parts under 37 CFR 1.52 or 1.53
- [] Response to Missing Parts/Incomplete Application
- [] Drawing(s)
- [] Request for Corrected Filing Receipt
- [] Licensing-related Papers
- [] Petition
- [] Petition to Convert to a Provisional Application
- [] Power of Attorney, Revocation, Change of Correspondence Address
- [] Declaration
- [] Statement under 37 CFR 3.73(b)
- [] Terminal Disclaimer
- [] Request for Refund
- [] CD, Number of CD(s) _____
 - [] Landscape Table on CD
- [] After Allowance Communication to TC
- [] Appeal Communication to Board of Appeals and Interferences
- [] Appeal Communication to TC *(Appeal Notice, Brief, Reply Brief)*
- [] Proprietary Information
- [] Status Letter
- [X] Return Receipt Postcard
- [] Other Enclosure(s) *(please identify below)*:

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Seed Intellectual Property Law Group PLLC	Customer Number	00500
Signature	Julie Urvater		
Printed Name	Julie A. Urvater, Ph.D., Patent Agent		
Date	August 9, 2005	Reg. No.	50,461

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature	Lawrence Teague	
Typed or printed name	Lawrence Teague	Date: August 9, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).

FEE TRANSMITTAL for FY 2005

OIPE AUG 11 2005 PATENT & TRADEMARK OFFICE

Complete if Known	
Application Number	09/276,484
Filing Date	March 25, 1999
First Named Inventor	Alexander Gaiger
Examiner Name	Ronald B. Schwadron, Ph.d.
Art Unit	1644
Attorney Docket No.	210121.465C1

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT ($)**180**

METHOD OF PAYMENT (check all that apply)

☒ **Check** ☐ Credit Card ☐ Money Order ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 19-1090 Deposit Account Name: Seed IP Law Group PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**

☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Charge any underpayments or credit any overpayments

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

	FILING FEES		SEARCH FEES		EXAMINATION FEES		
		Small Entity		Small Entity		Small Entity	
Application Type	Fee ($)	Fee ($)	Fee ($)	Fee ($)	Fee ($)	Fee ($)	Fees Paid ($)
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES

Fee Description	Fee ($)	Small Entity Fee ($)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims		Extra Claims		Fee ($)		Fee Paid ($)
_____	-20 or HP =	_____	X	_____	=	_____

HP = highest number of total claims paid for, if greater than 20

Multiple Dependent Claims	
Fee ($)	Fee Paid ($)
_____	_____

Indep. Claims		Extra Claims		Fee ($)		Fee Paid ($)
_____	-3 or HP =	_____	X	_____	=	_____

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)) the application size fee due is $250 ($125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets		Extra Sheets		Number of each additional 50 or fraction thereof		Fee ($)	Fee Paid ($)
_____	-100 =	_____	/50 =	_____ (round up to a whole number)	x	_____	_____

4. OTHER FEE(S)

	Fees Paid ($)
Non-English Specification, $130 fee (no small entity discount)	_____
Other (e.g., late filing surcharge): Fee to Consider IDS	180
_____	_____
_____	_____

SUBMITTED BY

Signature	Julie Urvater	Registration No. (Attorney/Agent)	50,461	Telephone	206-622-4900
Name (Print/Type)	**Julie A. Urvater, Ph.D., Patent Agent**			Date	August 9, 2005

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AUG 11 2005
PATENT & TRADEMARK OFFICE

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 9, 2005 — Lawrence Teague
Date — Lawrence Teague

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Alexander Gaiger et al.
Application No.	:	09/276,484
Filed	:	March 25, 1999
For	:	COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY
Examiner	:	Ronald B. Schwadron, Ph.D.
Art Unit	:	1644
Docket No.	:	210121.465C1
Date	:	August 9, 2005

08/12/2005 HMARZI1 00000024 09276484
01 FC:1806 180.00 OP

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FIFTH SUPPLEMENT INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Copies of all cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed

relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Fifth Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of $180 is submitted in accordance with 37 CFR 1.97(c). The Director is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC

Julie A. Urvater, Ph.D., Patent Agent
Registration No. 50,461

JAU:ljt
Enclosures:
Postcard
Check for $180
Transmittal Form
Fee Transmittal Form (+ Copy)
Form PTO-1449
Cited References (2)

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

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OIPE JC97 AUG 11 2005 PATENT & TRADEMARK OFFICE

FORM PTO-1449 (REV.7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	APPLICATION NO.
	FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	210121.465C1	09/276,484
		APPLICANTS: Alexander Gaiger et al.	
		FILING DATE: March 25, 1999	GROUP ART UNIT: 1644

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	AK	WO 00/26249	05/11/00	WIPO		
	AL					
	AM					
	AN					
	AO					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AP		TrEMBL Database Accession No. Q93046, February 2, 1997.
	AQ		
	AR		

EXAMINER	DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).